

# Image-adaptive Color Super-resolution

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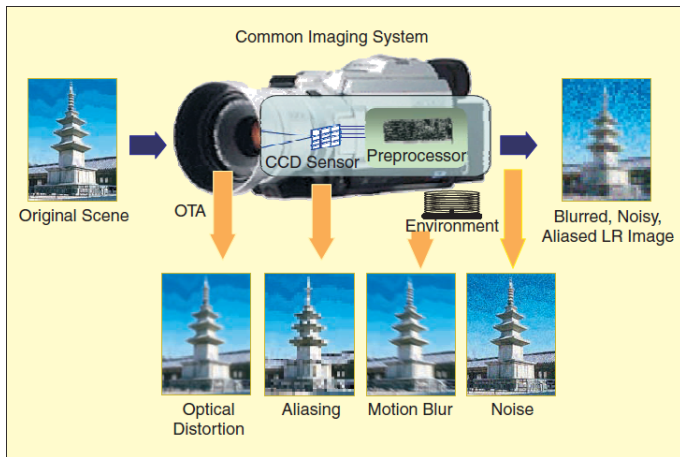
Color and Imaging Conference 2011

November 10, 2011

# Outline

- 1 Gray-scale super-resolution (SR): State-of-the-art
- 2 Color SR: Background and motivation
- 3 Contribution: Image-adaptive color super-resolution
- 4 Results

# Digital image acquisition system<sup>1</sup>



<sup>1</sup>Park et al., IEEE Signal Process. Mag., 2003

# Model of the forward imaging process

$$\mathbf{y}_k = \mathbf{DBT}(\boldsymbol{\theta}_k)\mathbf{x} + \mathbf{n}_k, \quad 1 \leq k \leq K$$

- $\mathbf{x} \in \mathbb{R}^n \rightarrow$  unknown hi-res image
- $\mathbf{y}_k \in \mathbb{R}^m$  ( $m < n$ )  $\rightarrow$   $k$ -th lo-res image
- $\mathbf{T}(\boldsymbol{\theta}_k) \in \mathbb{R}^{n \times n} \rightarrow$   $k$ -th geometric warping matrix
  - $\boldsymbol{\theta}_k$  obtained from projective homography matrix<sup>2</sup>
- $\mathbf{B} \in \mathbb{R}^{n \times n} \rightarrow$  camera optical blur
- $\mathbf{D} \in \mathbb{R}^{m \times n} \rightarrow$  downsampling matrix of 1s and 0s
- $\mathbf{n}_k \in \mathbb{R}^m \rightarrow$  noise vector that corrupts  $\mathbf{y}_k$ .

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<sup>2</sup>Mann and Picard, IEEE Trans. Image Process., 1997

# Prior work and key research challenges

$$\mathcal{C}(\mathbf{x}, \boldsymbol{\theta}) = \sum_{k=1}^K \|\mathbf{y}_k - \mathbf{DBT}(\boldsymbol{\theta}_k)\mathbf{x}\|_p, p \geq 1$$
$$(\hat{\mathbf{x}}, \hat{\boldsymbol{\theta}}) = \arg \min_{\mathbf{x}, \boldsymbol{\theta}} \mathcal{C}$$

- Sequential estimation of  $\{\boldsymbol{\theta}_k\}$  and hi-res image  $\mathbf{x}$ 
  - Sub-optimal
- Cost function minimization under different norms<sup>3</sup>  $\rightarrow$  different noise models
- Joint MAP estimation<sup>4</sup> of  $\{\boldsymbol{\theta}_k\}$  and hi-res image  $\mathbf{x}$ 
  - Tractability of optimization problem
  - Faithfulness of resulting solutions to real-world constraints.

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<sup>3</sup>Farsiu et. al., IEEE Trans. Image Process., 2004

<sup>4</sup>Hardie et. al., IEEE Trans. Image Process., 1997

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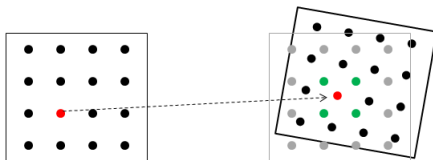
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# Addressing the challenges<sup>5</sup>

- **Separable convexity** via transformation of variables  $\mathbf{f}_k : \boldsymbol{\theta}_k \mapsto \mathbf{T}(\boldsymbol{\theta}_k)$ 
  - $\boldsymbol{\theta}$ : change in pixel coordinates,  $\mathbf{T}$ : pixel intensity mapping



$$\mathcal{C}(\mathbf{x}, \{\mathbf{T}_k\}, \mathbf{B}) = \sum_{k=1}^K \|\mathbf{y}_k - \mathbf{DBT}_k \mathbf{x}\|_p + \lambda \rho(\mathbf{x}).$$

- Formulation of elegant and physically meaningful **convex constraints**.

Why convexity?

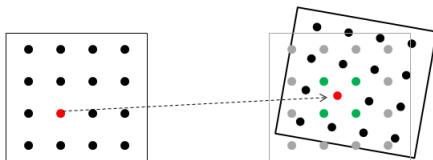
- Convergence guarantee to minima
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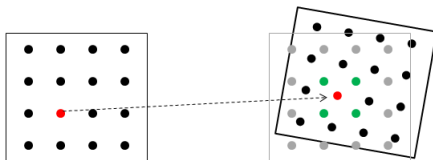
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# Single-channel SR: Optimization problem

$$\text{minimize}_{\mathbf{x}, \{\mathbf{T}_k\}, \mathbf{B}} \quad \sum_{k=1}^K \|\mathbf{y}_k - \mathbf{D}\mathbf{B}\mathbf{T}_k\mathbf{x}\|_p$$

$$\text{subject to} \quad \mathbf{0} \leq \mathbf{x} \leq \mathbf{1}$$
$$\mathbf{0} \leq \mathbf{D}\mathbf{B}\mathbf{T}_k\mathbf{x} \leq \mathbf{1}, \quad 1 \leq k \leq K$$

$$\mathbf{T}_k \cdot \mathbf{1} = \mathbf{1}, \quad 1 \leq k \leq K$$

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$$\mathbf{t}_{k,i}^T \mathbf{m}_{k,i} = 0, \quad 1 \leq i \leq n, \quad 1 \leq k \leq K$$

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- Non-negative pixel values of hi-res and lo-res images
- $\mathbf{T}_k$ : interpolation matrix,  $\mathbf{B}$ : filtering with a local spatial kernel; each row should sum to 1
- **Membership constraints**: candidate set of non-zero entries in each row of  $\mathbf{T}_k$  and  $\mathbf{B}$  known.

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# Color super-resolution: Prior work

- 1 Treat RGB as independent channels  $\rightarrow$  no channel correlation
- 2 Operate in a de-correlated color space<sup>6</sup>
  - Assumption: **luminance component** of image carries its spatial features
  - Chrominance components used mainly to improve image registration<sup>7,8</sup>
- 3 Strong correlation among spatial high-frequency components across color channels<sup>9</sup>
  - Related work: color image demosaicking<sup>10</sup>.

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<sup>6</sup>Vandewalle et al., *Electronic Imaging*, 2007

<sup>7</sup>Shah and Zakhor, *IEEE Trans. Image Process.*, 1999

<sup>8</sup>Tom and Katsaggelos, *IEEE Trans. Image Process.*, 2001

<sup>9</sup>Farsiu et al, *IEEE Trans. Image Process.*, 2006

<sup>10</sup>Menon and Calvagno, *IEEE Trans. Image Process.*, 2009

# Luminance regularization

- $\mathbf{S}_r, \mathbf{S}_g, \mathbf{S}_b \in \mathbb{R}^{3n \times 3n}$ : gradient operators on red, green and blue color channels respectively
- Luminance regularization (for images with **dominant luminance edges**):

$$\rho_L(\mathbf{x}) = \|(\mathbf{S}_r - \mathbf{S}_g)\mathbf{x}\|_1 + \|(\mathbf{S}_g - \mathbf{S}_b)\mathbf{x}\|_1 + \|(\mathbf{S}_r - \mathbf{S}_b)\mathbf{x}\|_1 \leq \epsilon_L.$$

- Modified optimization cost function:

$$\mathcal{C}_1 = \sum_{k=1}^K \|\mathbf{y}_k - \mathbf{DBT}_k \mathbf{x}\|_p + \alpha_L \rho_L(\mathbf{x}).$$

- Successful for color SR  $\rightarrow$  most images possess dominant luminance geometry.

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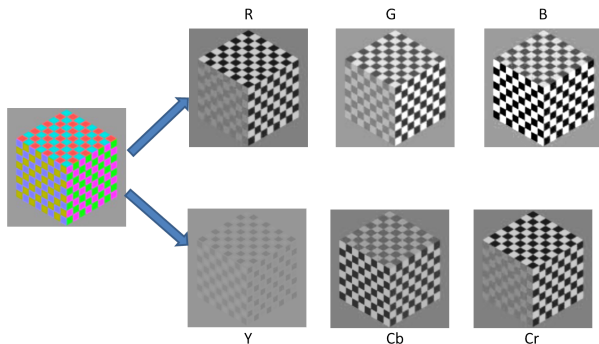
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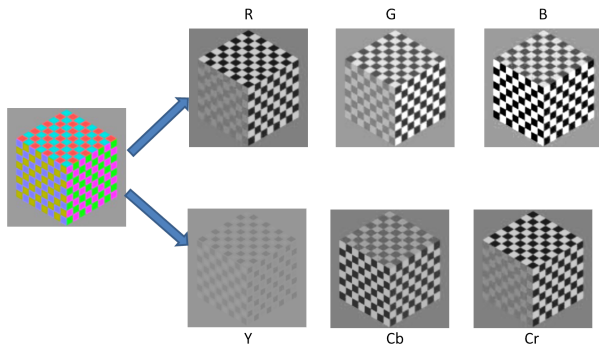
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# Motivation: Value of chrominance geometry



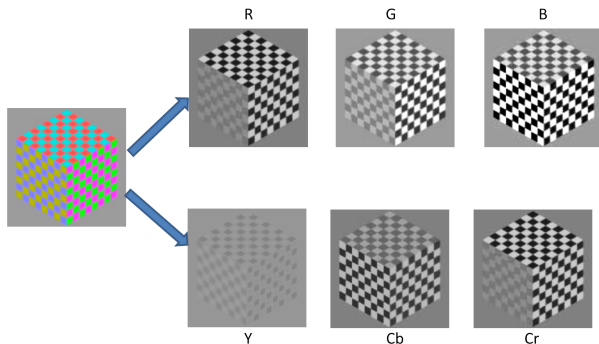
- Luminance edge (in Y) → present in R, G and B channels
- Chrominance edge → R, G and B channels with different high-frequency components
  - Strong edge in Cb → strong edge in B, mild edges in R and G.

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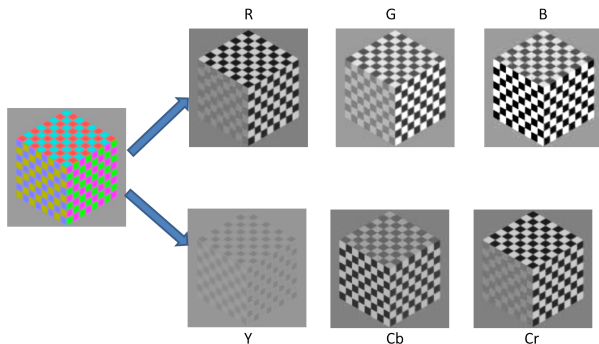
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# Chrominance regularization: Intuition

- For images with **significant chrominance geometry**, edge correlation between RGB channels expected to be **low**

- Minimize edge correlation between channels in desired HR image:

$$(\mathbf{S}_r \mathbf{x})^T (\mathbf{S}_g \mathbf{x}) < \epsilon_{rg}, (\mathbf{S}_g \mathbf{x})^T (\mathbf{S}_b \mathbf{x}) < \epsilon_{gb}, (\mathbf{S}_b \mathbf{x})^T (\mathbf{S}_r \mathbf{x}) < \epsilon_{br}.$$

- Incorporate into cost function as a **regularization term**:

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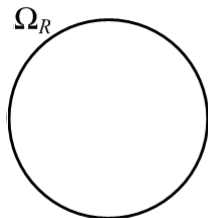
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# Role of regularization: Venn diagram interpretation

$$\mathcal{C} = \sum_{k=1}^K \|\mathbf{y}_k - \mathbf{D}\mathbf{B}\mathbf{T}_k\mathbf{x}\|_p + \alpha_L \rho_L(\mathbf{x}) + \alpha_C \rho_C(\mathbf{x}).$$

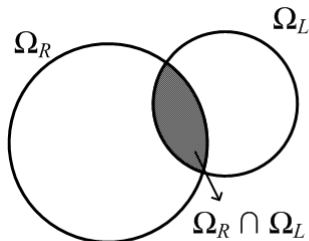
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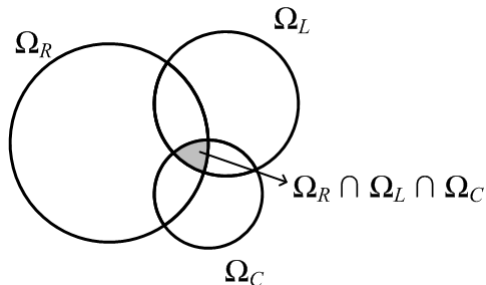
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# Contribution: Image-adaptive color super-resolution

$$\underset{\mathbf{x}, \{\mathbf{T}_k\}, \mathbf{B}, \mathbf{S}_r, \mathbf{S}_g, \mathbf{S}_b}{\text{minimize}} \quad \sum_{k=1}^K \|\mathbf{y}_k - \mathbf{DBT}_k \mathbf{x}\|_p + \alpha_L \rho_L(\mathbf{x}) + \alpha_C \rho_C(\mathbf{x})$$

subject to

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$$(\mathbf{s}_{r,i})^T \mathbf{f}_{r,i} = 1, \quad 1 \leq i \leq 3n$$

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# Constraints on gradient operators

- Gradient operator  $\rightarrow$  high-pass filter  $\Rightarrow$  elements in each row must sum to zero:

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- $\mathbf{f}_{r,i}, \mathbf{f}_{g,i}, \mathbf{f}_{b,i}$  generated from initial gradient operator
- Element in  $\mathbf{S}$  takes positive, negative or zero value  $\rightarrow$  corresponding entry in  $\mathbf{f}$  equals 1, -1 or 0 respectively.



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- Gradient operator  $\rightarrow$  high-pass filter  $\Rightarrow$  elements in each row must sum to zero:

$$\mathbf{S}_r \cdot \mathbf{1} = \mathbf{0}, \quad \mathbf{S}_g \cdot \mathbf{1} = \mathbf{0}, \quad \mathbf{S}_b \cdot \mathbf{1} = \mathbf{0}.$$

- Membership constraints on  $\mathbf{S}_r, \mathbf{S}_g, \mathbf{S}_b$  to prevent convergence to  $\mathbf{0}$ :

$$(\mathbf{s}_{r,i})^T \mathbf{f}_{r,i} = 1, (\mathbf{s}_{g,i})^T \mathbf{f}_{g,i} = 1, (\mathbf{s}_{b,i})^T \mathbf{f}_{b,i} = 1, 1 \leq i \leq 3n.$$

- $\mathbf{f}_{r,i}, \mathbf{f}_{g,i}, \mathbf{f}_{b,i}$  generated from initial gradient operator
- Element in  $\mathbf{S}$  takes positive, negative or zero value  $\rightarrow$  corresponding entry in  $\mathbf{f}$  equals 1, -1 or 0 respectively.



# How to choose $\alpha_C$ and $\alpha_L$ ?

- Estimate degree of image chrominance geometry

$$\beta = \frac{1}{2} \left( \frac{\|\mathbf{H}_1 \mathbf{x}_{Cb}\| + \|\mathbf{H}_1 \mathbf{x}_{Cr}\|}{\|\mathbf{H}_1 \mathbf{x}_Y\|} + \frac{\|\mathbf{H}_2 \mathbf{x}_{Cb}\| + \|\mathbf{H}_2 \mathbf{x}_{Cr}\|}{\|\mathbf{H}_2 \mathbf{x}_Y\|} \right).$$

$$\mathbf{h}_1 = \begin{pmatrix} 3 & 10 & 3 \\ 0 & 0 & 0 \\ -3 & -10 & -3 \end{pmatrix}, \quad \mathbf{h}_2 = \begin{pmatrix} 3 & 0 & -3 \\ 10 & 0 & -10 \\ 3 & 0 & -3 \end{pmatrix}.$$

- $\beta \downarrow \Rightarrow \alpha_C \downarrow, \alpha_L \uparrow$
- $\beta \uparrow \Rightarrow \alpha_C \uparrow, \alpha_L \downarrow$
- $\alpha_C$  and  $\alpha_L$  assigned complementary weights:  $\alpha_C = \alpha_{\max} - \alpha_L$ .

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## How to choose $\alpha_C$ and $\alpha_L$ ?

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# Choice of $\beta$ and $\alpha_C$



(a) 0.584



(b) 0.864



(c) 0.294



(d) 0.483



(e) 0.497



(f) 0.503



(g) 0.425



(h) 0.828



(i) 0.975

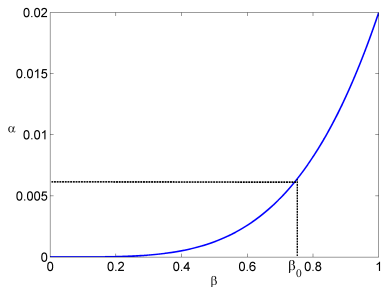
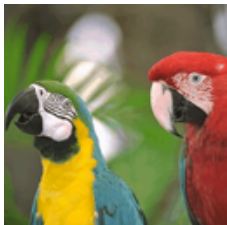


Figure: Mapping from  $\beta$  to  $\alpha_C$ .

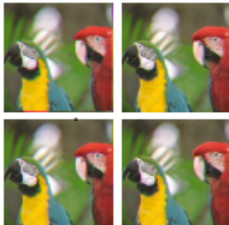
Figure: Threshold:  $\beta_0 = 0.75$ .



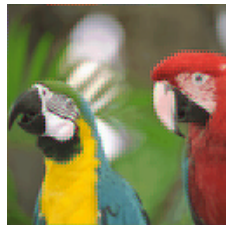
# Results: I



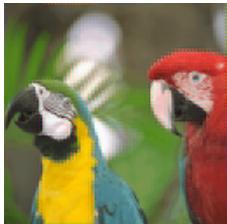
(a) Orig. hi-res image.



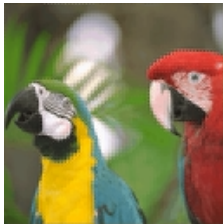
(b) Sample lo-res images.



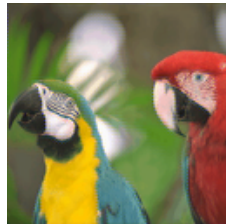
(c) RGB independently.



(d) Luminance only (Farsiu).

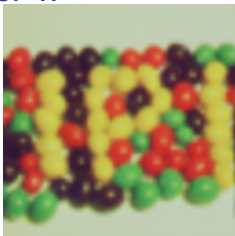


(e) Lum. and chrom. independently (Vandewalle).



(f) Proposed method.

## Results: II



(a) Interp. lo-res image.



(b)  $l_1$ -norm  $\rho_L$  (Farsiu)



(c)  $l_2$ -norm  $\rho_L$  (Menon)



(d) Lum. and chrom. independently (Vandewalle)



(e) Proposed framework (non-adaptive).



(f) Image-adaptive framework.

# Conclusions

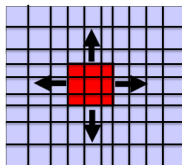
- 1 Color super-resolution framework that simultaneously exploits **spatial** and **amplitude** information
  - Novel chrominance regularization
  - Image-adaptive selection of optimization parameters
- 2 Constrained convex optimization framework
  - Tractable algorithms.

Thank you  
Questions?

## Backup Slides

# High-pass filter constraints for gradient operators

$$H(\omega_1, \omega_2) = \sum_{(n_1, n_2) \in \mathcal{R}} h[n_1, n_2] e^{-j\omega_1 n_1} e^{-j\omega_2 n_2}.$$



$$** \begin{bmatrix} h_{-1,-1} & h_{-1,0} & h_{-1,1} \\ h_{0,-1} & h_{0,0} & h_{0,1} \\ h_{1,-1} & h_{1,0} & h_{1,1} \end{bmatrix}$$



$$\begin{bmatrix} h_{-1,-1}, h_{0,-1}, h_{1,-1} & \dots & 0 & 0 & 0 & \dots & h_{-1,0}, h_{0,0}, h_{1,0} & \dots & 0 & 0 & 0 & \dots & h_{-1,1}, h_{0,1}, h_{1,1} \end{bmatrix} \begin{bmatrix} 1 \\ \vdots \\ \vdots \\ \vdots \\ \vdots \\ \vdots \\ 1 \end{bmatrix} = \begin{bmatrix} 0 \\ \vdots \\ \vdots \\ \vdots \\ \vdots \\ \vdots \\ 0 \end{bmatrix}$$

# Quantitative comparison of performance

$$J_i = 10 \left[ \log \left( \frac{\sum_{k=1}^K \|\mathbf{y} - \mathbf{DBT}_k \mathbf{x}_i\|_2}{\sum_{k=1}^K \|\mathbf{y} - \mathbf{DBT}_k \mathbf{x}\|_2} \right) + (1 - \beta) \log \frac{\rho_L(\mathbf{x}_i)}{\rho_L(\mathbf{x})} + \beta \log \frac{\rho_C(\mathbf{x}_i)}{\rho_C(\mathbf{x})} \right]$$

- Comparison with three competitive methods:
  - 1  $i = 1$  :  $\alpha_L$  with  $l_1$ -norm<sup>11</sup>
  - 2  $i = 2$  :  $\alpha_L$  with  $l_2$ -norm<sup>12</sup>
  - 3  $i = 3$  : luminance and chrominance separately<sup>13</sup>
- $J > 0 \Rightarrow$  dB gain using proposed approach;  $J < 0 \Rightarrow$  competitive method better.

Image	$J_1$	$J_2$	$J_3$
(a)	0.182	1.599	16.469
(b)	<b>7.900</b>	<b>6.562</b>	<b>26.856</b>
(c)	-0.493	-1.153	17.711
(d)	0.404	-0.979	12.196
(e)	7.902	4.674	21.647
(f)	7.222	5.260	20.804
(g)	9.806	8.388	21.588
(h)	<b>7.857</b>	<b>6.208</b>	<b>25.863</b>
(i)	<b>12.110</b>	<b>10.899</b>	<b>27.805</b>

<sup>11</sup> Farsiu et al., IEEE Trans. Image Process., 2006

<sup>12</sup> Menon et al., IEEE Trans. Image Process., 2009

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